



**PATENT**

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**MAY 30 2001**

**Technology Center 2100**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Sameh A. Fakhouri, et al.

**Examiner:** Unassigned

**Serial No.:** 09/710,646

**Art Unit:** 2151

**Filed:** November 10, 2000

**Docket:** YOR920000201US(13731)

**For: DECISION BASED SYSTEM  
FOR MANAGING DISTRIBUTED  
RESOURCES AND MODELING  
THE GLOBAL OPTIMIZATION PROBLEM**

**Dated:** May 23, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent Application No. 5,737,728, dated April 7, 1998;
2. U.S. Patent Application No. 6,178,592 B1, dated January 23, 2001;
3. U.S. Patent Application No. 5,617,510, dated April 01, 1997; and
4. U.S. Patent Application No. 6,026,403, dated February 15, 2000.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on May 23, 2001.

**Dated:** May 23, 2001

  
Michelle Mustafa

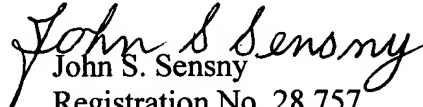
Copies of these references are also submitted herewith.

These references were cited in a Search Report issued in connection with a counterpart International Patent Application. A copy of this Search Report is also enclosed.

Each item of information contained in this Information Disclosure Statement was cited in a communication from foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Accordingly, no fee is required to have this Disclosure Statement considered.

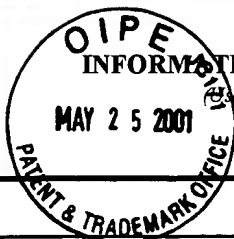
Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required

Respectfully submitted,

  
John S. Sensny  
Registration No. 28,757

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

JSS:yvg



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

YOR920000201US(13731)

Application Number

09/710,646

Applicant(s)

Sameh A. Fakhouri, et al.

Filing Date

November 10, 2000

Group Art Unit

2151

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,737,728	4/7/98	Sisley, et al.			
		6,178,592 B1	01/23/01	Short, et al.			
		5,617,510	4/01/97	Keyrouz, et al.			
		6,026,403	2/15/00	Siefert			

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## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

2151#5

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**YOR920000201US(13731)**

In Re Application Of: Sameh A. Fakhouri, et al.

MAY 25 2001

Serial No.  
09/710,646

Filing Date  
November 10, 2000

Examiner  
UNASSIGNED

Group Art Unit  
2151

Title: **DECISION BASED SYSTEM FOR MANAGING DISTRIBUTED RESOURCES AND  
MODELING THE GLOBAL OPTIMIZATION PROBLEM**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

MAY 25 2001

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

YOR920000201US(13731)

Inventor Application Of: Sameh A. Fakhouri, et al.

Serial No.

09/710,646

Filing Date

November 10, 2000

Examiner

UNASSIGNED

Group Art Unit

2151

Title: **DECISION BASED SYSTEM FOR MANAGING DISTRIBUTED RESOURCES AND  
MODELING THE GLOBAL OPTIMIZATION PROBLEM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date)

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I certify that this document and fee is being deposited on **MAY 23, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Dated: May 23, 2001

*John S. Sensny*  
Signature

John S. Sensny, Reg. No. 28,757  
SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 743-4242

JSS:yvg

cc: